



T

## Security-Based Swap Dealer

### OVERVIEW



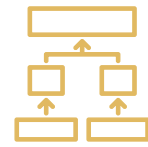
#### CHALLENGE

A Global Systemically Important Bank (G-SIB) needed to become registered as a Security-Based Swap Dealer and send its security-based swaps data to the Depository Trust & Clearing Corporation's Global Trade Repository (DTCC GTR). The bank's front-office had made progress on several business-aligned streams but required assistance in building out their swap reporting capabilities for the in-scope products.



#### SOLUTION

The bank sought the support of Treliant to help build reporting capabilities for the in-scope products. We provided templates and expertise from a prior swap reporting project to build and manage a scope and plan to hit the deadline.



#### APPROACH

We used our expertise in regulatory compliance to help the bank comply with the new Security-Based Swap Dealer rules. Our team re-used templates and built on expertise from a prior swap reporting project with the same client. This accelerated the schedule for delivering data mappings and event data mappings for the in-scope projects. The team worked with the bank's internal IT groups and an external vendor to refine the plan, then managed the overall program to hit the deadline.

### RESULTS

- ✓ The bank successfully became a registered Security-Based Swap Dealer ahead of the regulatory deadline.