



Independent Consultancy— Risk Mitigation

OVERVIEW



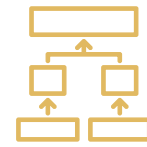
CHALLENGE

A banking institution enlisted Treliant's services to mitigate the risk of regulatory penalties resulting from noncompliance with local regulations.



SOLUTION

Treliant collaborated closely with the bank to ensure full compliance with all necessary requirements. The team developed and implemented a comprehensive compliance program, establishing procedures and protocols that would enable the client to remain in adherence with local regulations and meet all requirements for ongoing compliance.



APPROACH

Upon receipt of the client's documents, the team conducted a meticulous review, identifying any gaps in the client's BSA/AML compliance. Subsequently, Treliant worked closely with the client to identify and obtain any further documentation to fill those gaps.

RESULTS

- ✓ Treliant conducted a comprehensive assessment of the client's BSA/AML program and identified gaps that required remediation. With Treliant's guidance, the client successfully addressed these gaps and achieved full compliance.
- ✓ The project enabled the bank to establish a robust compliance program, ensuring their readiness to meet future certification standards and regulatory requirements.
- ✓ Treliant's work highlighted the critical importance of meticulous documentation organization in achieving and maintaining compliance with regulatory mandates.